## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applic	ation of:		
	Lei Duan, et al.	Examiner:	Unassigned
Serial No.:	Unassigned )	Art Unit:	Unassigned
Filed:	Unassigned )		
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Which is a c	continuation of:		
Serial No. 0	9/420,517		.,
Filed: Octo	ber 18, 1999 )		,
P.O. Box 14	ner for Patents 150 VA 22313-1450		mile) .J. Be

## <u>INFORMATION DISCLOSURE STATEMENT</u>

Sir:

Applicant hereby request consideration of the enclosed Information Disclosure Statement pursuant to 37 C.F.R. § 1.97(c).

Attached are the PTO Forms 1449 and Form 892 from the parent application filed on October 18, 1999, (Serial No. 09/420,517). This previous application is relied upon for an earlier filing date under 35 U.S.C. 120.

Pursuant to C.F.R. 1.98(d), copies of the references are not being provided herewith since they were previously sent to the patent and Trademark Office. Please consider theses cited documents in the currently pending 1.53(b) divisional application filed October 19, 1999.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Portugue

Dated: February 17, 2004

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Marina Portnova Reg. No. 45,750

Customer No. 008791 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

## **EXPRESS MAIL CERTIFICATE OF MAILING**

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Michelle Begay
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

## Substitute for Form 1449A/PTO (Modified)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Application Number	09/420,517						
Filing Date	10/18/99						
First Named Inventor:	Duan						
Group Art Unit	2654						
Examiner Name	Edouard, P.						
Attorney Docket Number	80398.P276						

Exam. Cite No.1	Cite No.1	U.S. Patent		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Signature

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Coin	plete if Known	
Application N	umber	09/420,517	
Filing Date		10/18/99	
First Named	Inventor:	Duan, et al.	
Group Art Un	it	2654	
Examiner Na	me	Edouard, P.	
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2 of Attorney Docket Number 1 80398.P276 Sheet **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, Date of Publication of U.S. Patent Document Name of Patentee or Applicant Exam. Cite No.1 of Cited Document Cited Document Where Relevant Initial\* Kind Number Passages or Relevant MM-DD-YYYY Code<sup>2</sup> (If known) Figures Appear 5,642,519 Martin 6/24/97

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

## Notice of References Cited

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<sup>\*</sup> A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

<sup>&</sup>lt;sup>2</sup> Classifications may be U.S. or foreign.

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Examiner Signature	PATRICK N. EDOUARD	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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#### Atty Docket No.: Serial No.: orm PTO-1449 09/420,517 RECEIVED FFB 2 3 200 Technology Center 2600 FEB 2 0 2001 398P276 INFORMATION DISCLOSURE CITATION List of Patents and Publications Statement Applicant: Lei Duan, et al. (Use several sheets if necessary) Filing Date: October 18, 1999 **U.S. PATENT DOCUMENTS** Filing date Sub-Class Document No. Patentee Examiner if appropriate Class Initials 05/24/1990 Katumi Tanaka, et al. 364 419 (16) 5,088,038 AA 01/27/1994 364 419.08 Gregorio Uribe-Echebarria ·AB 5,426,583 Diaz De Mendiil 10/28/1993 Adam L. Berger, et al. 364 419.02 AC 5.510.981 364 419.08 08/31/1992 Susumu Kuno, et al. 5,528,491 AD 06/07/1995 Leighton K. Chong, et al. 364 419.03 5,535,120 ΑE 395 754 03/14/1995 5,659,765 Seiii Nii AF NA 759 06/02/1995 Peter Fitzhugh Brown, et al. 395 AG 5,768,603 11/04/1996 704 Hiroyuki Kaji, et al. 5,907,821 AH John P. Kozma 704 2 11/13/1995 ΑI 5.983,169 04/24/1997 704 Alexander Franz, et al. 6,161,083 AJ FOREIGN PATENT DOCUMENTS Sub-Trans-Country Class Date No. Document No. lation Class EP EPO 805 403 A2 May 2, 1997 M. AK OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) PCT Search Report dated January 29, 2001, 6 pages. Me AL PCT Search Report dated January 31, 2001, 5 pages. M AM **Date Considered** Examiner EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

Complete Known								
Application Number	09/420,517							
Filing Date	October 18, 1999							
First Named Inventor:	Lei Duan							
Group Art Unit	2747							
Examiner Name	Not yet assigned							
Attorney Docket Number	080398.P276							

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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